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<b>(54) Title:</b> TWO-LEVEL ADDRESS TRANSLATION AND MEMORY REGISTRATION SYSTEM AND METHOD  <b>(57) Abstract</b>  A two-level memory region registration and address translation method includes a memory handle table and a translation and protection table (TPT). Each memory region registered is associated with a unique memory handle index which accesses one entry of the memory handle table. The accessed entry in the memory handle table stores a memory handle that is combined with virtual addresses in the registered memory region to access TPT entries storing translation data for the virtual addresses in the registered memory region.		

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## **TWO LEVEL ADDRESS TRANSLATION AND MEMORY REGISTRATION SYSTEM AND METHOD**

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### **CROSS-REFERENCES TO RELATED APPLICATIONS**

This application claims priority from Provisional Appln. No. 60/070,650, filed January 7, 1998, the disclosure of which is incorporated herein by reference.

10

### **BACKGROUND OF THE INVENTION**

The virtual interface architecture (VIA) has been jointly developed by a number of computer and software companies. VIA provides consumer processes with a protected, directly accessible interface to network hardware, termed a virtual interface. VIA is especially designed to provide low latency message communication over a system area network (SAN) to facilitate multi- processing utilizing clusters of processors.

15

A SAN is used to interconnect nodes within a distributed computer system, such as a cluster. The SAN is a type of network that provides high bandwidth, low latency communication with a very low error rate. SANs often utilize fault-tolerant technology to assure high availability. The performance of a SAN resembles a memory subsystem more than a traditional local area network (LAN).

20

The VIA is described in the Virtual Interface Architecture Specification, Draft Revision 1.0, December 4, 1997. The VI Architecture is comprised of four basic components: Virtual Interfaces, Completion Queues, VI Providers, and VI Consumers. The VI Provider is composed of a physical network adapter and a software Kernel Agent. The VI Consumer is generally composed of an application program and an operating system communication facility. The organization of these components is illustrated in Figure 1.

25

A VI is depicted in Fig. 2 and consists of a pair of Work Queues: a send queue and a receive queue. VI Consumers post requests, in the form of Descriptors, on the Work Queues to send or receive data. A Descriptor is a memory structure that

30

contains all of the information that the VI Provider needs to process the request, such as pointers to data buffers.

The VI Provider is the set of hardware and software components responsible for instantiating a Virtual Interface. The VI Provider consists of a network  
5 interface controller (NIC) and a Kernel Agent (KA).

The VI NIC implements the Virtual Interfaces and directly performs data transfer functions. The NIC provides an electro-mechanical attachment of a computer to a network. Under program control, a NIC copies data from memory to the network medium, i.e., transmission, and from the medium to the memory, i.e., reception.

10 The Kernel Agent is a privileged part of the operating system, usually a driver supplied by the VI NIC vendor, that performs the setup and resource management functions needed to maintain a Virtual Interface between VI Consumers and VI NICs. These functions include the creation/destruction of VIs, VI connection setup/teardown, interrupt management and/or processing, management of system memory used by the VI  
15 NIC, and error handling. VI Consumers access the Kernel Agent using standard operating system mechanisms such as system calls. Kernel Agents interact with VI NICs through standard operating system device management mechanisms.

The VI Architecture requires the VI Consumer to identify memory used for a data transfer prior to submitting the request. Only memory that has been registered  
20 with the VI Provider can be used for data transfers. This memory registration process allows the VI Consumer to reuse registered memory buffers, thereby avoiding duplication of locking and translation operations. Memory registration also takes this processing overhead out of the performance-critical data transfer path.

Memory registration enables the VI Provider to transfer data directly  
25 between the buffers of a VI Consumer and the network without copying any data to or from intermediate buffers.

Memory registration consists of locking the pages of a virtually contiguous memory region into physical memory and providing the virtual to physical translations to the VI NIC. The VI Consumer gets an opaque handle for each memory region registered.  
30 The VI Consumer can reference all registered memory by its virtual address and its associated handle.

Memory is registered with the VI NIC for two reasons:

- 1) to allow the NIC to perform virtual to physical address translation
- 2) to allow the NIC to perform protection checking.

Consumers are able to use virtual addresses to refer to VI Descriptors and  
5 communication buffers. The VI NIC is able to translate from virtual to physical addresses  
through the use of its Translation and Protection Table (TPT). The TPT of the NIC  
described in the VIA Specification resides on the NIC in order to assure fast, non-  
contentious access and because it is accessed during performance critical data movement.  
A TPT and method of accessing the TPT are depicted in Fig. 3. The fields of each TPT  
10 entry are:

- a) a valid indication bit
- b) a physical page address
- c) a protection tag
- d) an RDMA Write Enable Bit
- 15 e) an RDMA Read Enable Bit
- f) a Memory Write Enable Bit

The size of the TPT is configurable. There is one entry in the TPT for each  
page that can be registered by the user. A memory region of N contiguous virtual pages  
consumes N contiguous entries in the TPT.

20 When a memory region is registered with the NIC, the Kernel Agent  
allocates a contiguous set of entries from the TPT and initializes them with the  
corresponding physical page addresses and protection tag specified by the process that  
registered the memory region. The protection tag specified by the process when it creates  
a VI is stored in the context memory of the VI. The NIC has access to the protection tag  
25 in both of these areas, allowing it to compare these values to detect invalid accesses.  
Page sizes larger than 4KB are supported and page size may differ among nodes of the  
SAN.

The above-described implementation of the TPT has several  
disadvantages. If TPT entries are allowed to exist anywhere in memory, an application  
30 could set-up bogus TPT entries which point to any physical address. A RDMA Write  
descriptor could then be set up, given appropriate Virtual Address and Memory Handle to  
use this bogus TPT entry and scribble anywhere in memory. The standard solution is to  
limit the locations of legal TPT entries. The requirement of allocation of contiguous

memory to facilitate bounds checking consumes a large amount of memory. Another problem resulting from the standard solution is that it may lead to fragmentation of entries in the TPT which can result in a failure when attempting to find multiple consecutive entries required when registering large memory regions.

5           The fragmentation problem is illustrated in Fig. 4 which depicts an exaggerated example where the TPT range is limited to only eight entries. There are three active registered memory regions, with TPT owner IDs X, Y, and Z, which differentiate the registered memory regions. An application cannot register a new two page memory region, Mem Region 4, because, due to previous fragmentation of the TPT,  
10 no two TPT entries are contiguous. Thus, Mem Region 4 cannot be registered even though there are three available entries in the TPT.

          If the Memory Handles could be reassigned, then larger contiguous sets of free locations could be found. Unfortunately, this is not possible because the Memory Handles returned to the applications earlier are already in use in descriptors and it would  
15 be undesirable to stop VI processing and update all the descriptors.

#### SUMMARY OF THE INVENTION

          According to one aspect of the invention, a two-level look-up scheme utilizes a Memory Handle Index to obtain an index into a table of Memory Handles, the  
20 Memory Handle Table (MHT), used for accessing the TPT.

          According to another aspect of the invention, an application receives a Memory Handle Index when it registers memory. The TPT entries for the registered area of memory can be moved and the Memory Handles reassigned without requiring the descriptors, which use the Memory Handle Index, to be updated.

25           According to another aspect of the invention, the TPT can be stored in any place in memory and fields for base/bounds checking are included in each MHT entry.

          According to another aspect of the invention, the TPT can be defragmented by moving fragmented entries to free locations and updating the Memory Handle to point to the new location. Since descriptors in use hold Memory Handle  
30 Indices, the descriptors do not need to be updated.

          Other features and advantages of the invention will be apparent in view of the following detailed description and appended drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram of the Virtual Interface Architecture (VIA);

Fig. 2 is a block diagram of a Virtual Interface (VI);

Fig. 3 is a block diagram of the VIA address translation scheme;

5 Fig. 4 is a block diagram depicting a fragmented TPT;

Fig. 5 is a schematic diagram of a preferred embodiment of the two-level address translation mechanism;

Fig. 6 is a block diagram of the MHT entry format and the TPT entry format;

10 Fig. 7 is a block diagram of a fragmented TPT utilizing the two level look-up table scheme; and

Figs. 8A-8C depict the steps of defragmenting the TPT.

## DESCRIPTION OF THE SPECIFIC EMBODIMENTS

15 A preferred embodiment of the invention will now be described with reference to Fig. 5 which depicts a novel two-level scheme for accessing a translation protection table (TPT) implemented by the network interface card (NIC) and kernel agent (KA) of the VI consumer as depicted in Fig. 1.

Applications access memory using virtual addresses 50 and Memory  
20 Handle Indices (MHI) 52. The NIC provides the translation to physical addresses. The MHI value 52 is returned from the VI User Agent during memory registration.

The MHI 52 is an offset into a first level table called the Memory Handle Table 54. This first level table contains the Memory Handles (MH) 55. The MH is subtracted from the Virtual Page Number (VPN) 50 to generate a pointer into the second  
25 level Translation and Protection Table (TPT) 56. This pointer is called the Pseudo Address (PSA). Note that in the VIA Specification and Fig. 3 this pointer is denoted the "protection index". The TPT holds the Physical Page Number (PPN). The MHI is 20 bits, allowing for up to 1M Memory Handles. The present embodiment requires the Memory Handle Table to reside in physically contiguous memory which begins at the Memory  
30 Handle Base register value.

Each Memory Handle Table entry is 8 bytes. The Memory Handle is 32 bits allowing 4G TPT Entries. Each TPT entry is 8 bytes. Protection checks which limit

the start and extent of TPT entries force them to begin in the lower 8GBytes of memory because of the size of the TPT Start field in the present embodiment.

The VIA Specification uses terminology which is different from that used with the presently described NIC regarding the Memory Handle, since the VIA  
5 Specification describes only a one table lookup implementation whereas the present NIC uses a 2 table lookup implementation for calculating physical addresses. Both the implementation described in the VIA specification and the preferred embodiments subtract the Memory Handle from the virtual address to obtain the pseudo-address(PSA).

But the NIC of the preferred embodiment does not get the Memory Handle  
10 from the descriptor, it gets the memory handle from the 1st level MHI table 54 which is pointed to by the Memory Handle index 52 which is gotten from the descriptor. Therefore, in the preferred embodiment, the Memory Handle Index 52 is returned by the VI User Agent RegisterMem call, but in VIA VI User Agent terms the Memory Handle is returned by the VI User Agent RegisterMem call. As noted, the Memory Handle Index  
15 (MHI) and Memory Handle (MH) are not the same even though the VIA implementation and the preferred embodiment describe the same VI User Agent call (RegisterMem) as returning the value the implementation needs.

Fig. 6 depicts the Memory Handle Table entry format 60 and TPT entry  
format 70. The TPT Start field 62 is a 4K byte physical address pointer to the beginning  
20 of the block of TPT entries allocated as part of the memory registration. This field is 21 bits in width, requiring TPT entries to start in within the lower 8G bytes of memory. The TPT Extent field 64 indicates how many 4K byte pages of TPT entries are valid for this registration. Each page can hold 512 TPT entries. The TPT Extent field is 10 bits in  
width, allowing up to 1023 pages, each page containing 512 TPT entries. Therefore, the  
25 maximum memory a single memory registration can handle is 1023 x 512 x Pegasus. For a Pegasus of 4Kbytes, this is 2G bytes - 2M bytes.

All Memory Handle table entries must be appropriately programmed by the Kernel Agent. Any unused entry must have its TPT Extent field set to all zeros. The second level TPT Entries indicated by the TPT Start, TPT Extent pair must also be  
30 programmed by the Kernel Agent. Unused entries must have their valid bits (V) cleared, this includes unused entries beyond those used for the memory registration, but within the same 4K byte page as the last valid entry.



Referring back to Fig. 5, the use of the TPT start and Extent fields to implement base/bound checking will now be described. The Pseudo-Address (PSA) is a pointer into the TPT. The magnitude of the PSA generated for a particular Memory Handle is compared to the TPT start field and the sum of the TPT Start and Extent fields (which sum gives the bound of the TPT). If the generated PSA is less than TPT start or greater than the sum of TPT Start and Extent than a TPT Extent Violation is signaled.

The use of the two level-level accessing scheme to rearrange TPT entries to eliminate fragmentation will now be described with reference to Fig. 4, 7, and 8A-8C. As previously described, a new region of memory must be registered utilizing contiguous entries in the TPT. Fig. 4 depicts a TPT having three unused entries, but due to previous assignment of Memory Handles, no two entries are contiguous and a new memory region of two pages cannot be registered.

Fig. 7 depicts the same memory regions and TPT entries of Fig. 1, but which utilize the two-level table look-up scheme described above. Thus, a MHI,  $A_{HP1}$  to  $A_{HP3}$ , has been returned for each memory region registered. Each of these MHIs obtains an MH from the MH table 54, which, when combined with the Virtual Address provided by an application, form a PSA ( $A_V - A_H$ ) that accesses the correct entry in the TPT.

The defragmentation of the TPT to provide contiguous entries will now be described with reference to Figs. 8A-8C. In Step 1, Fig. 8A, is to copy the TPT entry(ies) to be relocated. In this case the entry from entry[6] is copied to entry[3].

Next, in Step 2, Fig. 8B, the Memory Handles for the relocated TPT entry(ies) are reassigned. In this case, the MH that previously formed a PSA pointing to entry[6] is changed to an MH that forms a PSA pointing to entry[3]. Note that the reassigned MH is still located in the same entry in the Memory Handle table so the MHI indexes the correct MH to access the correct translation data. Thus, the entries in the TPT can be moved without having to update the descriptors.

Finally, in Step 3, a new handle,  $AH_6$ , is added which forms a PSA pointing to entry[5] and the translation data for Mem Region 4 is stored in entry[5] and entry[6] of the TPT. The MHI  $A_{HP4}$  is returned to the application registering Mem Region 4.

In the preferred embodiment, the KA copies the Mem Region 3 data to the new TPT entry and the changes the data in the Memory Handle table to access the newly

copied entry. This freed up three consecutive TPT entry locations which can then be used for the newly registered Mem Region 4.

5       The invention has now been described with reference to the preferred embodiments. Alternatives and substitutions will now be apparent to persons of skill in art. For example, the particular size of the fields described are not critical to the invention. In addition, different algorithms for combining a Memory Handle and virtual address could be utilized. Accordingly, it is not intended to limit the invention except as provided by the appended claims.

WHAT IS CLAIMED IS:

- 1                   1.     A memory registration and two-level address translation and  
2     protection method implemented by a network interface card (NIC) and kernel agent  
3     forming a virtual interface provider, said method comprising the steps of:  
4                   .     providing a memory handle index corresponding to each region of memory  
5     registered;  
6                   .     maintaining a memory handle table with each entry accessed by a memory  
7     handle index and storing a memory handle;  
8                   .     maintaining a translation and protection table including a plurality of TPT  
9     entries, each TPT entry storing a physical address which is the translation of a virtual  
10    address utilized by a virtual interface consumer to access registered memory;  
11                   .     providing a first virtual address to be translated, with the first virtual  
12    address included in a first registered memory region, and also providing a first memory  
13    handle index corresponding to the first registered region;  
14                   .     utilizing the first memory handle to access an entry in the memory handle  
15    table holding a first memory handle;  
16                   .     combining the first memory handle and the first virtual address to form a  
17    pseudo-address for accessing a first entry in the TPT holding a first physical address that  
18    translates the first virtual address.
- 1                   2.     The method of claim 1 further comprising the steps of:  
2                   .     including start and extent fields in each entry of the TPT;  
3                   .     after generating the first pseudo-address to access the TPT:  
4                   .     comparing the first pseudo-address to the start field and indicating an  
5     extent violation if the magnitude of the of the start field is greater than the magnitude of  
6     the first pseudo-address;  
7                   .     comparing the first pseudo-address to the sum of the start and extent fields  
8     and indicating an extent violation if the magnitude of the start and extent fields is less  
9     than the magnitude of the first pseudo-address.

1           3.     A method for defragmenting a translation protection table  
2 comprising the steps of:  
3                 providing a translation protection table (TPT), having a plurality of TPT  
4 entries, with each TPT entry holding translation data for a virtual address included in a  
5 registered memory region;  
6                 providing a memory handle table (MHT), having a plurality of MHT  
7 entries, each MHT entry associated with a registered memory region, with each MHT  
8 entry holding a memory handle, with the memory handle used in conjunction with a  
9 virtual address to access the TPT entry holding translation data for the virtual address;  
10                providing a unique memory handle index for each memory region  
11 registered, with each unique memory handle index for accessing the entry of the memory  
12 handle table holding the memory handle for accessing TPT entries holding translation  
13 data for virtual addresses in the registered memory region;  
14                storing translation data for each page of a first registered memory region  
15 as the content of contiguous entries of the translation protection table, with the first  
16 memory region associated with a first memory handle index;  
17                if sufficient unused entries for storing translation data for a second  
18 memory region, associated with a second memory handle index, exist in the TPT but the  
19 entries are not contiguous:  
20                     copying contents of fragmented entries, storing translation data for  
21 the first registered memory region, to selected unused entries in the TPT, to form a  
22 contiguous region of unused TPT entries for storing translation data for the second  
23 memory region;  
24                     updating the memory handle, stored in the MHT table entry indexed  
25 by the first MHT, to access the selected TPT entries now storing translation data  
26 for the first registered memory region  
27                     storing translation data for the second memory region in the  
28 contiguous region of TPT entries that previously stored translation data for the  
29 first memory region;  
30                     storing a memory handle in the entry to the MHT entry accessed by the  
31 second MHT to access the contiguous region of TPT entries holding translation data for  
32 the second memory region.

1                   4.     A system for performing address translation that utilizes a memory  
2     handle index provided to a user application, with memory handle index associated with a  
3     memory region registered by the user application, and with the memory region  
4     comprising a plurality of contiguous virtual addresses, said system comprising:  
5                   a memory handle table, having a plurality of MHT entries, with each MHT  
6     entry accessed by a unique memory handle index and holding a memory handle;  
7                   a translation and protection table (TPT), having a plurality of TPT entries,  
8     with each TPT entry accessed by a TPT pointer and holding translation data for a virtual  
9     address in a registered memory region;  
10                  pointer generating logic, responsive to a particular virtual address and a  
11     particular memory handle index provided by a user application, for combining a memory  
12     handle, accessed from the memory handle table by the particular memory handle index,  
13     with the particular virtual address to generate a particular TPT pointer that accesses  
14     translation data for the particular virtual address from the TPT.

## VI Architectural Model

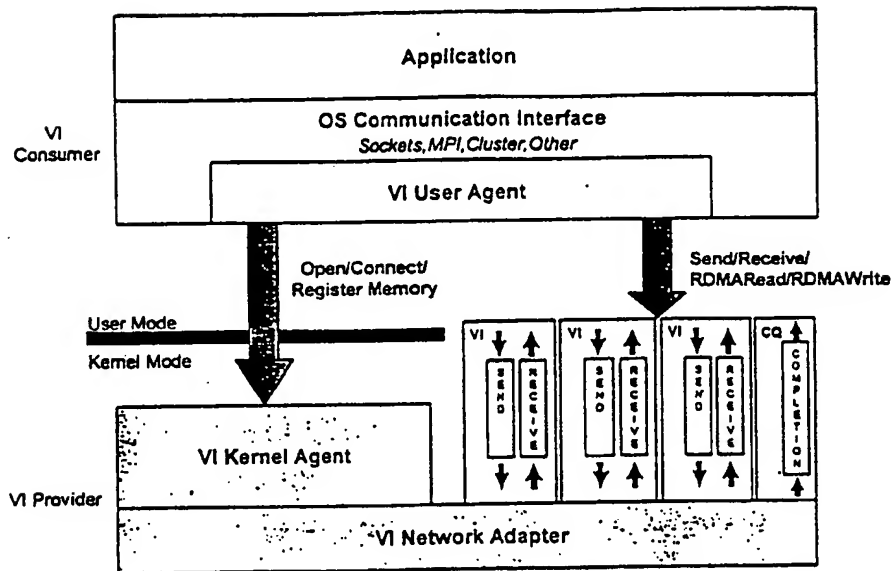


Fig-1

PRIOR ART

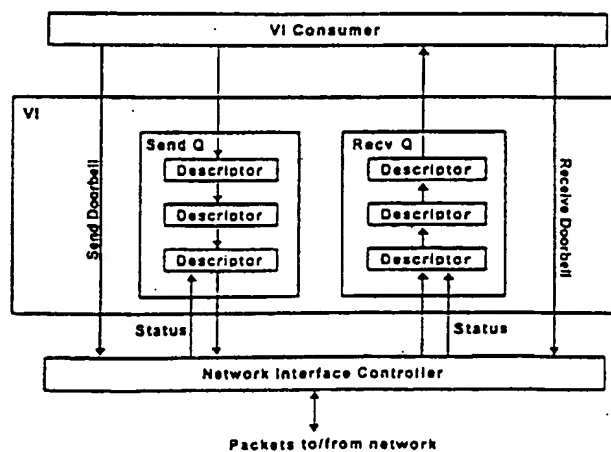


Fig-2

PRIOR ART

2/4

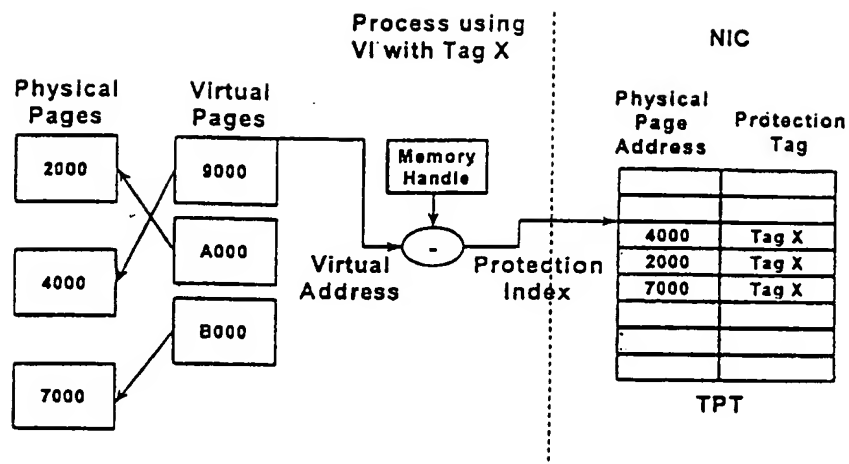


Fig. 3

PRIOR ART

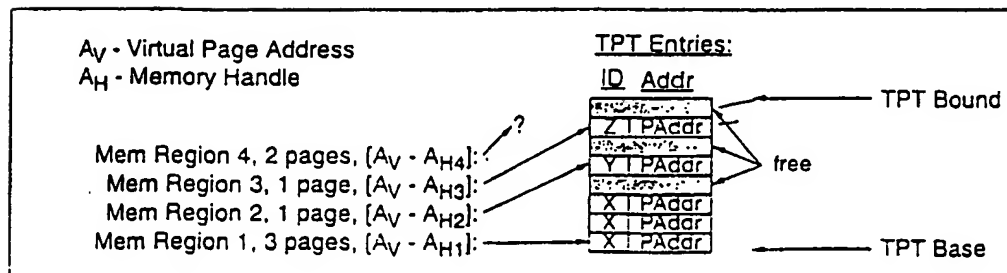
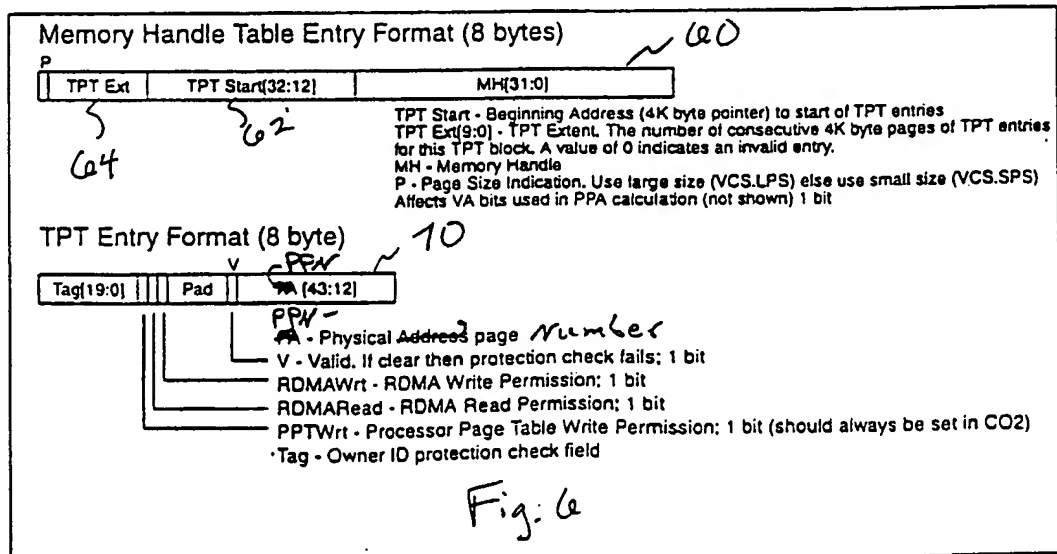
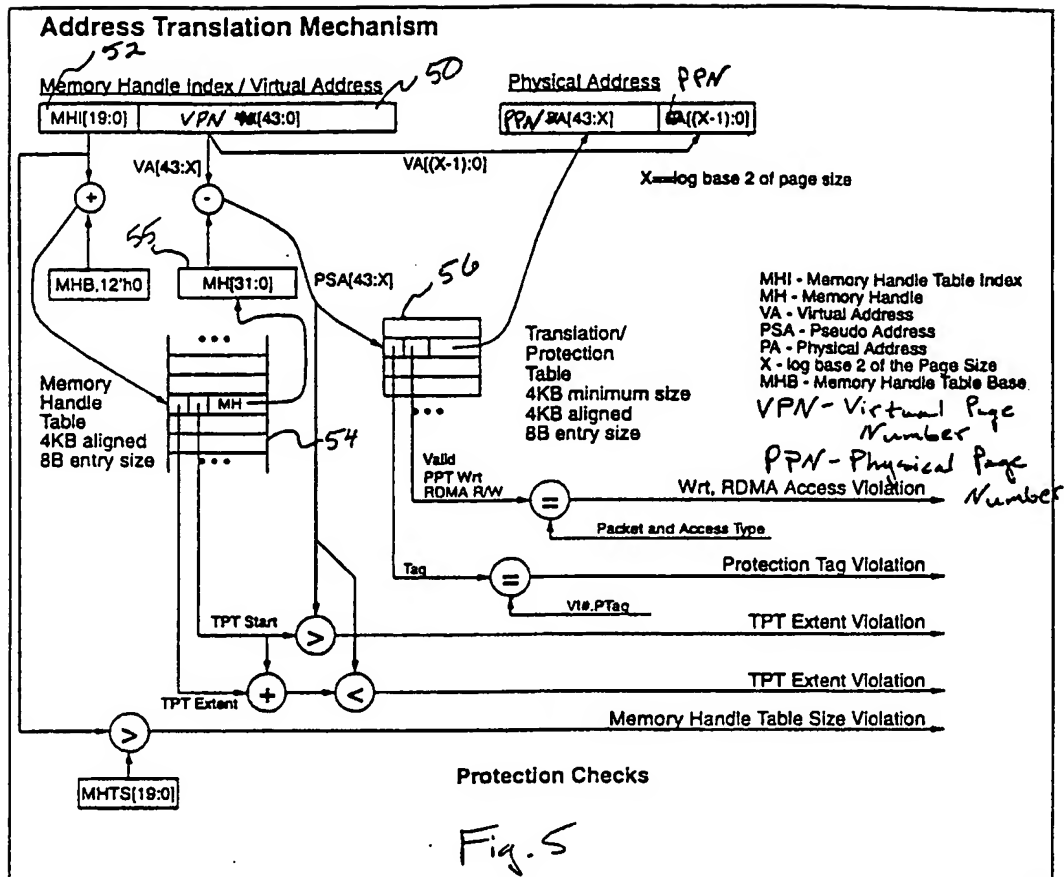


Fig. 4

PRIOR ART





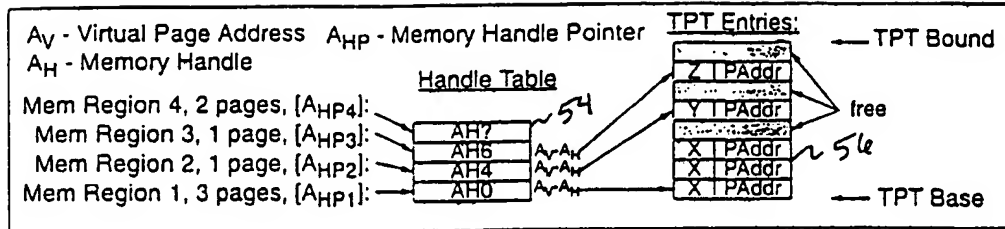
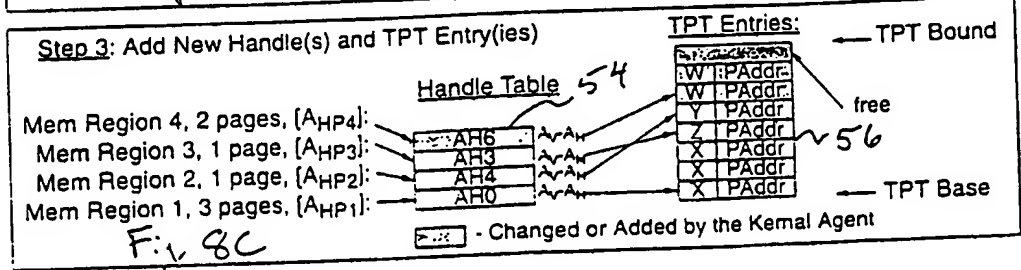
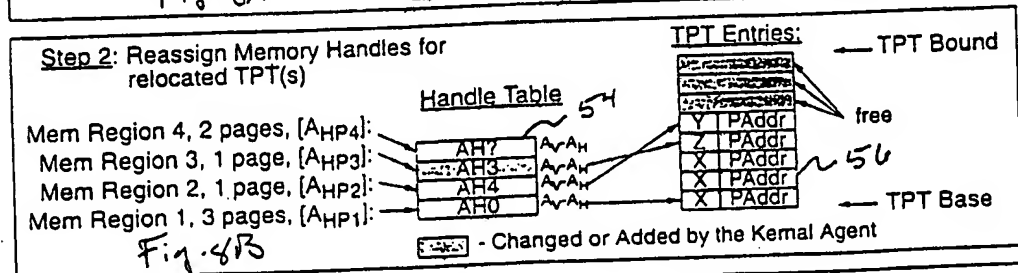
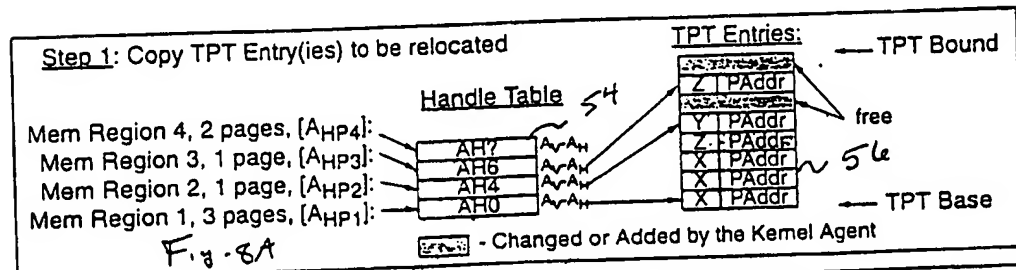


Fig. 7



# INTERNATIONAL SEARCH REPORT

Inter. Appl. No.

PCT/US 99/00320

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 G06F12/02

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 555 405 A (GRIESMER MARTIN E ET AL) 10 September 1996 see column 5, line 41 - column 6, line 19 ---	3
A	US 5 386 524 A (LARY RICHARD ET AL) 31 January 1995 see column 9, line 17 - column 10, line 68; figure 5A ---	1-4
A	EICKEN VON T ET AL: "U-NET: A USER-LEVEL NETWORK INTERFACE FOR PARALLEL AND DISTRIBUTED COMPUTING" OPERATING SYSTEMS REVIEW (SIGOPS), vol. 29, no. 5, 1 December 1995, pages 40-53, XP000584816 see page 43, left-hand column, line 41 - page 44, left-hand column, line 61 --- -/--	1-4

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, A	<p>DUNNING D ET AL: "THE VIRTUAL INTERFACE ARCHITECTURE"</p> <p>IEEE MICRO,</p> <p>vol. 18, no. 2, March 1998, pages 66-76,</p> <p>XP000751588</p> <p>see page 71, left-hand column, line 11 -</p> <p>right-hand column, line 46</p> <p style="text-align: center;">-----</p>	1-4

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Inter. Application No

PCT/US 99/00320

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5555405 A	10-09-1996	NONE	
US 5386524 A	31-01-1995	NONE	